

512 984 2020

01/22 '04 13:00 NO.143 01/04

32692

Customer Number

Patent  
Case No.: 55243US009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JAN 22 2004

First Named Inventor: LODER, HARRY A.

Application No.: 09/643333

Group Art Unit: 2874

Filed: August 22, 2000

Examiner: Pak, Sung H.

Title: OPTICAL FIBER CONNECTOR SYSTEM

OFFICIAL

COMMUNICATION AFTER NOTICE OF ALLOWANCE

Mail Stop: Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

| CERTIFICATE OF TRANSMISSION  |                          |
|--|--------------------------|
| To Fax No.: 703-872-9306   |                          |
| I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office on: |                          |
| 1-22-04  | <i>Linda Ramirez</i>     |
| Date   | Signed by: Linda Ramirez |

Dear Sir:

The undersigned respectfully requests that the Examiner return the PTO-1449 forms included with the Information Disclosure Statement dated July 31, 2003, acknowledging consideration of the references listed thereon. Copies of the forms are enclosed for the Examiner's convenience.

The issue fee due on February 25, 2004, has not yet been paid.

Respectfully submitted,

JAN 22, 2004  
Date

By: *Matthew B. McNutt*  
Matthew B. McNutt, Reg. No.: 39,766  
Telephone No.: (512) 984-6891

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

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01/22 '04 13:00 NO.143 02/04



32692

PATENT TRADEMARK OFFICE

**DUPLICATE  
ORIGINAL**Patent  
Case No.: 55243US009**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: LODER, HARRY A.

Application No.: 09/643333

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Filed: August 22, 2000

Examiner: Sung H. Pak

Title: OPTICAL FIBER CONNECTOR SYSTEM

DUE DATE(S) \_\_\_\_\_

ATTORNEY VTF  
DOCKETED preRECEIVED  
CENTRAL FAX CENTER

JAN 22 2004

**INFORMATION DISCLOSURE STATEMENT**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

7-31-03  
DateTom Sanders  
Signed by: Tom Sanders**OFFICIAL**

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

20 July 2003  
DateBy: Yen Tong Florczak  
Yen Tong Florczak, Reg. No.: 45,163  
Telephone No.: (512) 984-4669Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833**POSTED**

AUG 14 2003

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Substitute for form 1449/A/PTO (modified)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

|                      |                 |
|----------------------|-----------------|
| Application Number   | 09/643333       |
| Filing Date          | August 22, 2000 |
| First Named Inventor | Loder, Harry A. |
| Art Unit             | 2874            |
| Examiner Name        | Sung H. Pak     |
| Attorney Case Number | 55243US009      |

| U.S. Patent Documents |             |                                  |   |  |   |
|-----------------------|-------------|----------------------------------|---|--|---|
| Exam.<br>Init.*       | Cite<br>No. | Document Number                  | Publication Date or<br>Issue Date<br>MM-DD-YYYY | Name of Patentee<br>or Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |             | Doc. Number-(Kind Code if Known) |   |  |   |
|                       | A1          | US- 5,774,615                    | 06-30-1998                                      | Uda et al  |   |
|                       | A2          | US- 5,894,536                    | 04-13-1999                                      | Rifkin et al                                       |   |
|                       | A3          | US-                              |   |  |   |
|                       | A4          | US-                              |   |  |   |
|                       | A5          | US-                              |   |  |   |
|                       | A6          | US-                              |   |  |   |
|                       | A7          | US-                              |   |  |   |
|                       | A8          | US-                              |   |  |   |
|                       | A9          | US-                              |   |  |   |
|                       | A10         | US-                              |   |  |   |
|                       | A11         | US-                              |   |  |   |

| Foreign Patent Documents |          |                         |                            |                                |   |   |                            |
|--------------------------|----------|-------------------------|----------------------------|--------------------------------|---|---|----------------------------|
| Exam. Init.*             | Cite No. | Foreign Patent Document |                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation (Check if yes) |
|                          |          | Ctry. Code              | Number-KindCode (if known) |                                |   |   |                            |
|                          | B1       |                         |                            |                                |   |   |                            |
|                          | B2       |                         |                            |                                |   |   |                            |
|                          | B3       |                         |                            |                                |   |   |                            |
|                          | B4       |                         |                            |                                |   |   |                            |
|                          | B5       |                         |                            |                                |   |   |                            |
|                          | B6       |                         |                            |                                |   |   |                            |
|                          | B7       |                         |                            |                                |   |   |                            |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |             |  |
|---|-------------|--|
| Exam.<br>Init.*                                   | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |
|   | C1          |  |
|   | C2          |  |
|   | C3          |  |

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

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01/22 '04 13:00 NO.143 04/04

Draft Included

Form 10527

Application of

File Number

Title

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Sheets

Draft Included

Attorney (initials)

First Named Inventor: LODER, HARRY A.

Case No. Y55243US009

Application No.: 09/643333

Title: OPTICAL FIBER CONNECTOR SYSTEM

Enclosures:

Information Disclosure Statement, Form PTO-1449, and  
cited references.

Amount charged to Deposit Account: \$180.00

Attorney (initials): YTF/lmr

Date: July 31, 2003

Yen Florczak

AUG 03 2003

